

The 2007 Central Valley Chinook Age Specific Run Size Estimates

Scale Aging Program

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Abstract

Through scale aging, this study produces age structured hatchery and natural escapement estimates for all principal reaches and runs of Chinook salmon (*Oncorhynchus tshawytscha*) in the Central Valley. Sampling methods employed by hatcheries and escapement surveys reflect spatial and temporal differences among fish present throughout the escapement periods. State of the art digital imaging and reading techniques were used. A modified maximum likelihood estimator based on the work of Kimura and Chikuni (1987) was utilized. This method uses known aged coded wire tagged (CWT) salmon scale samples in conjunction with those of unknown aged (non-CWT) fish to create bias corrected age proportions from which age specific run size estimates can be made. While cohort reconstructions will require estimates from future escapement years, preliminary results show there are differences in the age structure of hatchery and natural escapement. In addition, results indicate there are age structure differences among the Chinook life history types present in the Central Valley. Results of this study have shown that scale aging is a valid method for deriving age specific escapement estimates. Both the methods employed and results presented may have broad implications for the future of Chinook salmon management and restoration efforts in the Central Valley.

In accordance with the CALFED Ecosystem Restoration Program directed actions, this project represents the first attempt at assessing age-specific escapement for all major CV Chinook salmon populations. Through the use of age specific escapement data and CWT recoveries with known mark rates, estimates of natural and hatchery abundance can be calculated through the life history of the fish. Once the entire 2006 brood (marked at a constant 25% rate) has reached completion in 2011, age specific ocean harvest rates, maturation rates, survival rates, and stray rates, can be calculated. A continuation of these programs will provide brood specific estimates of these population parameters each year thereafter.

Sacramento River Basin Fall Chinook age composition, 2007.

Escapement	Fish at Age				TOTAL
Hatcheries	2	3	4	5	Escapement
Coleman National Fish Hatchery	386	2,610	8,685	97	11,778
Feather River Hatchery	256	2,487	2,598	0	5,341
Nimbus Fish Hatchery	176	4,167	254	0	4,597
Hatchery Subtotal	818	9,264	11,537	97	21,716
Natural Areas					
Clear Creek	145	879	3,038	67	4,129
Battle Creek	327	2,201	7,294	82	9,904
Upper Sacramento River	667	2,298	13,457	638	17,060
Feather River	270	6,737	14,840	15	21,862
Yuba River	84	941	1,538	41	2,604
American River	32	5,591	4,357	5	9,985
Natural Area Subtotal	1,525	18,647	44,524	848	65,544
Total Aged Fall Escapement	2,343	27,911	56,061	945	87,260

Table I: 2007 estimated run size at age of the Sacramento River Fall Chinook hatchery and natural populations where scale samples were obtained. No scale samples were taken from Battle Creek therefore CNFH age proportions were utilized. Other tributaries and escapements without carcass surveys and/or scale collections were not aged.

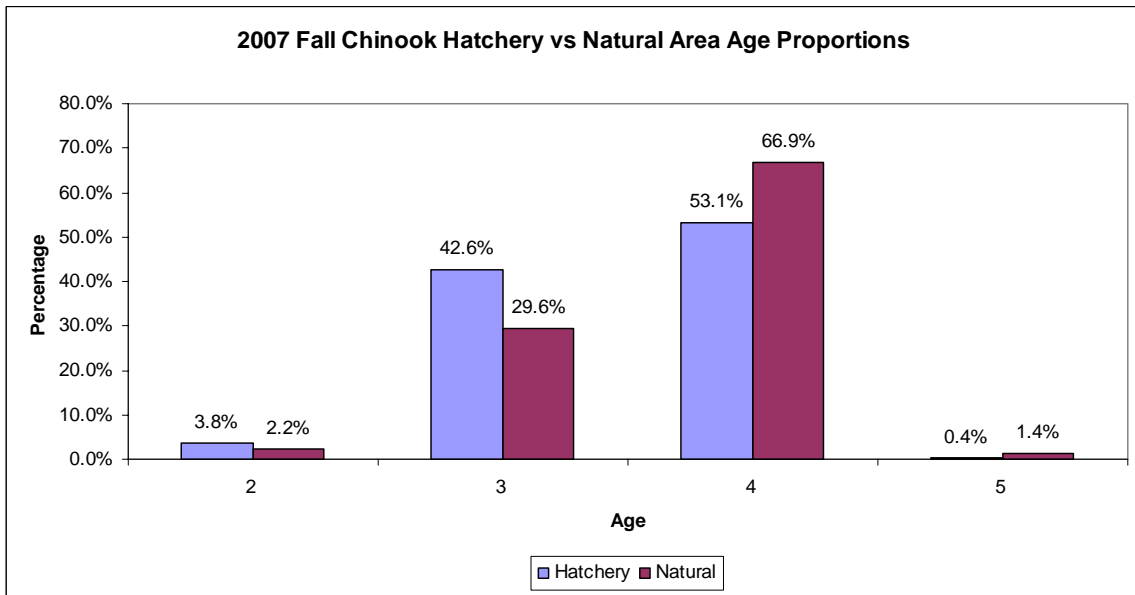


Figure 1: A comparison of the 2007 overall hatchery and natural area run size proportions at age. This illustrates the differences in age proportions for the two areas and contributions from the 2002 through 2005 broods. Battle Creek was removed from the analysis because the age proportions were derived from CNFH.

Central Valley Late Fall, Winter, Spring, and Mokelumne River Fall Chinook age composition, 2007.

Escapement	Fish at Age				TOTAL
Hatcheries	2	3	4	5	Escapement
Coleman National Fish Hatchery-Late Fall Run	204	4,639	998	282	6,123
Feather River Hatchery-Spring Run	8	1,824	841	1	2,674
Mokelumne River Fish Hatchery-Fall Run	45	873	126	0	1,044
Hatchery Subtotal	257	7,336	1,965	283	9,841
Natural Areas					
Upper Sacramento River-Winter Run	53	1,798	690	0	2,541
Upper Sacramento River-Late Fall Run	9	1,470	2,289	327	4,095
Butte Creek-Spring Run	195	4,968	1,678	1	6,842
Mokelumne River-Fall Run	6	375	89	0	470
Natural Area Subtotal	263	8,611	4,746	328	13,948
Total Aged Escapement	520	15,947	6,711	611	23,789

Table II: 2007 estimated run size at age for Chinook populations other than Sacramento River Fall Chinook. Spring run are those fish that left the ocean in the spring of 2007 and spawned prior to the Fall run of 2007. Late Fall run are those fish that left the ocean to spawn after the Fall run of 2007 with spawning continuing into 2008. Winter run are those fish that left the ocean during the winter of 2006 and 2007 and spawned prior to the Spring and Fall runs of 2007. Tributaries and escapements without carcass surveys and/or scale collections were not aged.

Introduction

The focus of this work was to develop methods and procedures to produce reliable and timely estimates of CV run size at age. Numerous techniques of estimating fish age based on physical characteristics have been used. Hard parts used for aging fish include otoliths, fin spines, fin rays, cleithra, vertebrae, opercular bones, dentary bones, and scales. Summerfelt and Hall (1987) suggest, from a management perspective, that scale aging provides an excellent means for knowing age class composition of a catch. Using scales to age fish is favored here because of the overall efficiency of collecting, preparing, and reading scales in a production environment as opposed to other aging methods. The project focused on applying modern digital imaging techniques along with computer aided data storage and retrieval methods. These procedures allow for the assessment of reader assigned age bias and apply bias correction through statistical methods. Non-random known age fish can be added to the reading assignments in large enough numbers to make statistically valid assessment of reader bias. These methods are an improvement over the methods of directly reading scale age patterns from either the mounted scales or acetate impressions because reader assignments can be made using easily retrievable digital images and read on staff computers. This work also provides a realistic evaluation regarding the ability to make age specific run size estimates in a production environment along with the required manpower, equipment and associated costs.

Methods

Sampling methods employed at hatcheries and on escapement surveys reflect potential spatial and temporal differences in age structure among fish present throughout the duration of the run. The sample design was selected to achieve a non-biased estimate of age structure for the specific portion of the population where escapement estimates are made without respect to known or unknown age fish. Each survey area and hatchery attempted to collect 550 random scale samples. In addition, at hatcheries almost all of the adipose fin clipped fish were scale sampled to provide a reference collection of as many known age scales as possible. In hatcheries, samples were collected at a constant rate throughout the entire spawning period keeping track of the “random” age sample and the additional “non-random” known age samples. On carcass surveys samples were collected at a constant rate as fish suitable for sampling were encountered throughout the survey periods. Because of the high sample rate for known age scales at hatcheries and the difficulty of sampling on spawning grounds, non-random samples were generally not taken from adipose fin clipped carcasses.

When possible, scales were collected from the preferred scale sampling location. This location is on the left side of the fish, diagonally down and back from the posterior insertion of the dorsal fin and just slightly above the lateral line. Consistency in collection

method is important as scale growth rates on different parts of the fish's body can differ and can influence the results of scale pattern analysis (Bugaev 2004).

State of the art mounting, digital imaging and digital reading techniques were used. From each scale sample, numerous scales were cleaned and mounted onto glass microscope slides. The best quality scales were digitized, catalogued, and stored to hard drives. Scale images were selected and arranged into reading assignments for the scale reader. In cases where scale collections were relatively small the entire collections were read. These assignments were specific to each escapement and included both scales from unknown aged non ad-clipped Chinook and known aged scales collected from ad-clipped CWT Chinook. When needed, additional known age samples were added to the reading assignment to increase the sample size of known age fish for improved validation and subsequent bias correction. This was done to better evaluate reader bias in instances where scale collections did not contain adequate numbers of known age samples from each age class. It was determined that readers were unable to distinguish the differences between different brood years at the same age. Therefore it was possible to use known age fish from 2006 and 2007 to improve sample sizes for bias correction. Most of these known age scales were collected at FRH because CNFH and NFH tagged little or no (0-3 percent of total production) Fall Chinook salmon produced from brood years 2002 through 2005. Exact minimum known age sample sizes from each age class needed in a collection have not been established; however maximizing poorly represented age classes when possible is necessary. In 2007 the minimum sample size target for individual known age groups was 20; however this was not always possible.

When possible, readers were provided with training assignments of scales not used in the evaluation of reader bias. Reading assignments were made to reflect the spatial and temporal differences expressed throughout the duration of each run. Without knowledge of the assignment composition the scales were examined for age indicative structures or patterns. Individual ages were determined from scales by counting winter annuli, a standard method for scale aging of Pacific salmon (Bugaev 2004). Annuli can be identified as bands of closely spaced or broken circuli. Scale samples were read by an individual experienced reader and field biological data (sex and length) were taken into consideration only after the initial evaluation of age by the reader. Flain and Glova (1988) demonstrated that aging scales by one experienced reader can be more accurate than aging scales using multiple readers.

A modified maximum likelihood estimator based on the work of Kimura and Chikuni (1987) was used to correct for reader bias identified in each reading assignment. This method uses the scales read from individual known aged CWT Chinook in the reading assignment to identify and correct for reader bias using a measure of reader accuracy in the form of a validation matrix. This method adjusts the read age proportions based on the reader accuracy of the known age scales identified in the validation matrices (Tables 4a-4o). The known age fish, expanded for sampling, were subtracted from the total escapement estimate. The bias corrected age proportions were then applied to the fraction of the total escapement estimate that was of unknown age. In this way, only the unknown aged portion of the escapement estimate was estimated using the bias corrected

age proportions. The known age fish, were then added back into the age specific escapement estimate by their respective age classes. This resulted in the total age specific escapement estimate. See Appendix A for a detailed description of the equation used for application of bias corrected proportions. See Appendix J for a list of sample expansion factors.

Results

A total of 6,078 samples from individual unknown aged Chinook were read. This total was comprised of scales from 15 separate collections originating from 4 hatcheries and 9 carcass surveys. Age assignments were bias corrected using scales from the CWT fish recovered within their respective areas. When there were insufficient numbers of CWT recoveries a combination of Sacramento Basin CWT scales from 2006 and 2007 were added to the existing CWT fish in the collection. A total of 5,733 validation reads were performed for this analysis. See tables 4a through 4o for individual escapement validation matrices. See Appendices B and C for total numbers of scale samples taken in each hatchery or carcass survey where CWT fish were recovered.

Table I contains the age structured run size estimates for Sacramento River Fall Chinook. These results are presented separate from the other runs in the CV because of their use as the CV Chinook conservation stock by the PFMC for fisheries management. For each estimate the total escapement numbers are the combined jack and adult totals published in the PFMC's "Review of the 2008 Ocean Salmon Fisheries". Those escapement totals were partitioned into separate ages using the bias corrected age proportions from scales. The natural area surveys are those where fish are handled and scales could be collected. In the case of Battle Creek, CNFH age proportions were assumed. In the case of small natural area stream surveys that were based on redd counts or snorkel surveys there were no scales collected so they were not included in the table. However, it would be possible as done for Battle Creek to assume age proportions from the closest survey where scales were collected or a combined stock age proportion. These "minor tributaries" do not normally have a large effect on the total Sacramento River Fall Chinook escapement.

Figure 1 shows a graphic representation of the hatchery versus natural area escapement age structure in 2007. This analysis excluded Chinook that returned to Battle Creek because their age structure was assumed to be the same as CNFH. The graphic shows that in 2007 the hatchery age structure is shifted toward younger aged fish as compared to natural areas.

Table II contains the stocks and tributaries where scale collections were made in addition to the Sacramento River Fall Chinook. Winter run age structure is for hatchery and natural areas combined. This was done due to the fact that Livingston Stone Hatchery takes only a few fish of mostly natural origin, as it is operated as a conservation hatchery.

The total escapement is based on the CDFG and USFWS carcass survey which is not reported in the 2007 review tables but is mentioned in the text. FRH Spring run size estimates are based on hatchery counts from 2007. It was not possible to make estimates of naturally spawning Spring run in the Feather River. Smaller populations of wild Spring run in the upper Sacramento River tributaries were not sampled for carcasses throughout the spawning period so scale samples are not available. From the estimates it appears that in general the Winter, Spring, and Mokelumne River Fall run escapement age structures are skewed toward the younger ages. Late Fall stocks are not similarly skewed toward younger ages and even include a detectable age five component to the run. Future analyses will be needed to determine if these differences are the result of differences in brood strength, maturation rates, or survival rates.

Appendices H and I present estimate totals for age 2 and ages 3-5 for each escapement in 2007. For comparison, those totals are displayed along with the estimates reported in the PFMC's "Review of the 2008 Ocean Salmon Fisheries" for grilse and adult escapement. Despite differences in the methodology used to derive these estimates, in some cases the totals are comparable. However, notable differences can be found in the Fall hatchery estimates where the total age 2 escapement estimate based on scale aging is roughly double that of the numbers reported in the Review. Other escapement differences are detailed in the aforementioned appendices.

Discussion

This report demonstrates that it is possible to age the CV Chinook escapements from scales collected in hatcheries and on spawning ground surveys. Where possible total escapement was based on estimates made by the individual survey projects and reported in the PFMC's "Review of the 2008 Ocean Salmon Fisheries". Total escapement estimates to the CV of all stocks are made by a combination of many different organizations including State and Federal agencies, private consultants, and utilities. However, not all of these groups were represented in this report. At the time of the report groups not included were the CDFG CV creel survey and the CDFG San Joaquin River escapement surveys. The coordination among all of these diverse interests is difficult. In the future the hope is to receive scale collections from all groups in the CV making escapement estimates so that a comprehensive age determination can be made using the same methods.

Modifications in sampling focus were made in 2007 based on the results obtained in 2006. In particular the collection of known age CWT scale samples was intensified at FRH because of the low return of tagged age two and age five fish in 2006. Samplers were at FRH during all of the spawning days to collect these additional known age scale samples for the analysis. Images of all of these samples were taken and are available for training and for age analyses. The shortage of images from returning CWT tagged salmon will be greatly improved upon the return of the 2006 brood which was tagged at a 25%

rate. This will hold true provided that this brood has a higher survival rate than the 2004 and 2005 broods. The lack of CWT's from CNFH was one of the major sources of difficulty in age determination of the Sacramento River Fall run in 2007. Large numbers of scales will continue to be sampled and imaged from CWT fish until there is a sufficient archive of images from each stock to provide adequate sample sizes for the evaluation of reader bias in age determination. In addition it may be important to characterize the scale patterns from broods that have experienced very low survival such as the 2004 and 2005 broods so the images can be compared to future broods with higher survival. These results also underscore the importance of CWT tagging in the CV. The methods employed here will better facilitate future analyses due to the ease of image retrieval and sharing associated with digital imaging, as compared to past scale aging methodologies.

The cause of differences in the age structure estimates made in this report are difficult to determine based on data from a single return year. The importance of this analysis is in tracking year class strength through time and providing the basic data to make estimates of population and fishery parameters as broods complete their life cycle. However, it is interesting to identify relationships in the data such as the shift in hatchery age structure presented in Figure 1 and to see if these relationships continue into the future. Such analyses may provide the basis for changing hatchery practices to better mimic wild population parameters. The results from the CFM program and the age analysis will provide the best opportunity to manage CV Chinook salmon based on scientifically defensible data. The continuation of these programs is vital to achieving this goal.

List of Acronyms and Abbreviations

Ad-Clipped	Adipose Fin Clipped
CDFG	California Department of Fish and Game
CFM	Constant Fractional Marking
CNFH	Coleman National Fish Hatchery
CWT	Coded Wire Tag
CV	Central Valley
FRH	Feather River Hatchery
MRFI	Mokelumne River Fish Installation
NFH	Nimbus Fish Hatchery
PFMC	Pacific Fisheries Management Council
USFWS	U.S. Fish and Wildlife Service

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Table 4a. 2007 Clear Creek Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	64	0	0	0	Total 544
	3	1	290	40	0	
	4	0	30	117	1	
	5	0	0	0	1	
Total		65	320	157	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.985	0.000	0.000	0.000	Total 1.000
	3	0.015	0.906	0.255	0.000	
	4	0.000	0.094	0.745	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4b. 2007 Upper Sacramento Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	46	4	0	0	Total 488
	3	1	324	24	0	
	4	0	27	60	1	
	5	0	0	0	1	
Total		47	355	84	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.979	0.011	0.000	0.000	Total 1.000
	3	0.021	0.913	0.286	0.000	
	4	0.000	0.076	0.714	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4c. 2007 Upper Sacramento Late Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	10	4	0	0	Total 323
	3	0	186	5	0	
	4	0	15	101	1	
	5	0	0	0	1	
Total		10	205	106	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.020	0.000	0.000	Total 323
	3	0.000	0.907	0.047	0.000	
	4	0.000	0.073	0.953	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4d. 2007 Winter Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	23	6	0	0	Total 182
	3	0	111	6	0	
	4	0	10	24	1	
	5	0	0	0	1	
Total		23	127	30	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.047	0.000	0.000	Total 182
	3	0.000	0.874	0.200	0.000	
	4	0.000	0.079	0.800	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4e. 2007 CNFH Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	19	0	0	0	Total 125
	3	0	15	16	0	
	4	0	1	72	1	
	5	0	0	0	1	
Total		19	16	88	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.000	0.000	0.000	Total 1.000
	3	0.000	0.938	0.182	0.000	
	4	0.000	0.063	0.818	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4f. 2007 CNFH Late Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	10	4	0	0	Total 323
	3	0	186	5	0	
	4	0	15	101	1	
	5	0	0	0	1	
Total		10	205	106	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.020	0.000	0.000	Total 1.000
	3	0.000	0.907	0.047	0.000	
	4	0.000	0.073	0.953	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4g. 2007 Butte Creek Spring Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	29	2	0	0	Total 258
	3	1	142	10	0	
	4	0	11	61	1	
	5	0	0	0	1	
Total		30	155	71	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.967	0.013	0.000	0.000	Total 1.000
	3	0.033	0.916	0.141	0.000	
	4	0.000	0.071	0.859	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4h. 2007 Feather River scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	18	0	0	0	Total 214
	3	0	118	12	0	
	4	0	8	54	1	
	5	0	0	2	1	
Total		18	126	68	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.000	0.000	0.000	Total 1.000
	3	0.000	0.937	0.176	0.000	
	4	0.000	0.063	0.794	0.500	
	5	0.000	0.000	0.029	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4i. 2007 FRH Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	19	1	0	0	Total 218
	3	0	87	22	0	
	4	0	3	84	1	
	5	0	0	0	1	
Total		19	91	106	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.011	0.000	0.000	Total 1.000
	3	0.000	0.956	0.208	0.000	
	4	0.000	0.033	0.792	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4j. 2007 FRH Spring Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	18	0	0	0	Total 340
	3	0	246	11	0	
	4	0	12	51	1	
	5	0	0	0	1	
Total		18	258	62	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	1.000	0.000	0.000	0.000	Total 1.000
	3	0.000	0.953	0.177	0.000	
	4	0.000	0.047	0.823	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4k. 2007 Yuba River Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	62	1	0	0	Total 516
	3	3	251	46	0	
	4	0	43	107	1	
	5	0	0	1	1	
Total		65	295	154	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.954	0.003	0.000	0.000	Total 1.000
	3	0.046	0.851	0.299	0.000	
	4	0.000	0.146	0.695	0.500	
	5	0.000	0.000	0.006	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4l. 2007 American River Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	62	0	0	0	Total 538
	3	2	284	45	0	
	4	0	34	108	1	
	5	0	0	1	1	
Total		64	318	154	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.969	0.000	0.000	0.000	Total 1.000
	3	0.031	0.893	0.292	0.000	
	4	0.000	0.107	0.701	0.500	
	5	0.000	0.000	0.006	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4m. 2007 NFH Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	64	1	0	0	Total 543
	3	2	276	34	0	
	4	0	43	121	1	
	5	0	0	0	1	
Total		66	320	155	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.970	0.003	0.000	0.000	Total 1.000
	3	0.030	0.863	0.219	0.000	
	4	0.000	0.134	0.781	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4n. 2007 Mokelumne River Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	63	1	0	0	Total 542
	3	2	271	48	0	
	4	0	47	108	1	
	5	0	0	0	1	
Total		65	319	156	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.969	0.003	0.000	0.000	Total 1.000
	3	0.031	0.850	0.308	0.000	
	4	0.000	0.147	0.692	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Table 4o. 2007 MRFI Fall Run scale validation matrices.

<u>Number</u>		Known Age				
		2	3	4	5	
Read Age	2	63	0	0	0	Total 542
	3	1	260	35	0	
	4	0	60	121	1	
	5	0	0	0	1	
Total		64	320	156	2	

<u>Percentage</u>		Known Age				
		2	3	4	5	
Read Age	2	0.984	0.000	0.000	0.000	Total 1.000
	3	0.016	0.813	0.224	0.000	
	4	0.000	0.188	0.776	0.500	
	5	0.000	0.000	0.000	0.500	
Total		1.000	1.000	1.000	1.000	

Appendix A: Estimation of escapement age-composition from a random sample containing known (CWT) and unknown-age fish where CWT's are expanded for sampling. (KRTAT 2007)

Denote the escapement at age as $\{N_a, a = 2, 3, 4, 5\}$, $N = \sum N_a$, and for the random sample of size $(n + m)$ fish, denote the following quantities:

- † all known age fish: number at age $\{n_a, a = 2, 3, 4, 5\}$, $n = \sum n_a$, $p_a = n_a/n$
- unknown read-age fish: number at age $\{m_a, a = 2, 3, 4, 5\}$, $m = \sum m_a$, $r_a = m_a/m$
- bias-correct unknown read-age proportions: $\{r_a^*, a = 2, 3, 4, 5\}$
- sample expansion factor: $\{s_a, a = 2, 3, 4, 5\}$

Age 2-5 escapement by scales. Estimate N_a as the sample known age a fish plus the unknown age portion of the escapement times the estimated age a proportion (bias-corrected):

$$N_a = np_a + (N - ns_a)r_a^*, a = 2, 3, 4, 5.$$

† Known age fish are both those CWT fish that were sampled for scales in their hatchery or stream of origin as well as those not sampled but recovered in their stream or hatchery of origin. Upper Sacramento Fall and Late Fall runs are the exception as fish from CNFH make significant contributions to those escapements. Additionally, known age fish are those that contained a CWT for which release information was obtainable. Sheds and lost tags were not accounted for by expansions and were treated as unknown age fish.

Appendix B: Summary of CWT scale sampled fish and CWT recoveries not sampled for scales for Sacramento Basin Fall run escapements

Fall Run Escapement		CWT Fish at Age			
Hatcheries		2	3	4	5
Coleman National Fish Hatchery	Sampled	1	15	84	0
	Not Sampled	0	0	0	0
Feather River Hatchery	Sampled	12	112	189	0
	Not Sampled	0	8	23	0
Nimbus Fish Hatchery	Sampled	0	0	0	0
	Not Sampled	0	0	0	0
Hatchery Subtotal		13	135	296	0
Natural Areas					
Clear Creek	Sampled	0	0	0	0
	Not Sampled	0	0	0	0
Upper Sacramento River	Sampled	0	1	2	0
	Not Sampled	0	0	0	0
Feather River	Sampled	3	112	68	1
	Not Sampled	0	33	10	0
Yuba River	Sampled	0	0	0	0
	Not Sampled	0	0	0	0
American River	Sampled	0	0	0	0
	Not Sampled	0	0	0	0
Natural Area Subtotal		3	146	80	1
Total Fall Escapement		16	281	376	1

Note: Estimates are made for only the unknown age portion of each escapement. All CWT fish listed in this table were recovered in the appropriate river respective to their hatchery of origin. CWT fish recovered as strays were not included in this table; however they may have been used in basin wide validation matrices for streams without hatcheries or tagging programs.

Appendix C: Summary of CWT scale sampled fish and CWT recoveries not sampled for scales for Central Valley Late Fall, Winter, Spring, and Mokelumne River Fall runs

Escapement		CWT Fish at Age			
		2	3	4	5
Hatcheries					
Coleman National Fish Hatchery-Late Fall Run	Sampled	8	173	6	0
	Not Sampled	0	0	0	0
Feather River Hatchery-Spring Run	Sampled	3	1,396	195	1
	Not Sampled	0	124	27	0
Mokelumne River Fish Hatchery-Fall Run	Sampled	0	6	0	0
	Not Sampled	0	3	0	0
Hatchery Subtotal		11	1,702	228	1
Natural Areas					
Upper Sacramento River-Winter Run	Sampled	1	30	13	0
	Not Sampled	0	14	7	0
Upper Sacramento River-Late Fall Run	Sampled	4	43	3	1
	Not Sampled	0	0	0	0
Butte Creek-Spring Run	Sampled	0	30	19	0
	Not Sampled	0	10	3	0
Mokelumne River-Fall Run	Sampled	0	1	0	0
	Not Sampled	0	0	0	0
Natural Area Subtotal		5	128	45	1
Total Escapement		16	1,830	273	2

Note: Estimates are made for only the unknown age portion of each escapement. All CWT fish listed in this table were recovered in the appropriate river respective to their hatchery of origin. CWT fish recovered as strays were not included in this table; however they may have been used in basin wide validation matrices for streams without hatcheries or tagging programs.

Appendix D: Summary of unknown age read fish and their subsequent proportions for Sacramento Basin Fall run escapements

Fall Run Escapement		Unknown Fish at Age			
Hatcheries		2	3	4	5
Coleman National Fish Hatchery	Read	16	166	301	2
	Proportion	0.033	0.342	0.621	0.004
Feather River Hatchery	Read	27	276	197	0
	Proportion	0.054	0.552	0.394	0.000
Nimbus Fish Hatchery	Read	23	458	95	0
	Proportion	0.040	0.795	0.165	0.000
Hatchery Subtotal	Read	66	900	593	2
	Proportion	0.042	0.577	0.380	0.001
Natural Areas					
Clear Creek	Read	17	187	283	4
	Proportion	0.035	0.381	0.576	0.008
Upper Sacramento River	Read	17	148	252	8
	Proportion	0.040	0.348	0.593	0.019
Feather River	Read	5	149	249	6
	Proportion	0.012	0.364	0.609	0.015
Yuba River	Read	11	167	162	4
	Proportion	0.032	0.485	0.471	0.012
American River	Read	2	408	238	2
	Proportion	0.003	0.628	0.366	0.003
Natural Area Subtotal	Read	52	1,059	1,184	24
	Proportion	0.022	0.457	0.511	0.010
Total Fall Escapement	Read	118	1,959	1,777	26
	Proportion	0.030	0.505	0.458	0.007

Note: These data are necessary input for the modified maximum likelihood estimator used to derive the bias corrected age proportions.

Appendix E: Summary of unknown age read fish and their subsequent proportions for Central Valley Late Fall, Winter, Spring, and Mokelumne River Fall runs

Escapement		Unknown Fish at Age			
Hatcheries		2	3	4	5
Coleman National Fish Hatchery-Late Fall Run	Read	2	29	10	1
	Proportion	0.048	0.690	0.238	0.024
Feather River Hatchery-Spring Run	Read	2	148	194	0
	Proportion	0.006	0.430	0.564	0.000
Mokelumne River Fish Hatchery-Fall Run	Read	18	298	106	0
	Proportion	0.043	0.706	0.251	0.000
Hatchery Subtotal	Read	22	475	310	1
	Proportion	0.027	0.588	0.384	0.001
Natural Areas					
Upper Sacramento River-Winter Run	Read	29	356	143	0
	Proportion	0.055	0.674	0.271	0.000
Upper Sacramento River-Late Fall Run	Read	1	43	75	5
	Proportion	0.008	0.347	0.605	0.040
Butte Creek-Spring Run	Read	25	470	175	0
	Proportion	0.037	0.701	0.261	0.000
Mokelumne River-Fall Run	Read	1	50	17	0
	Proportion	0.015	0.735	0.250	0.000
Natural Area Subtotal	Read	56	919	410	5
	Proportion	0.040	0.661	0.295	0.004
Total Escapement	Read	78	1,394	720	6
	Proportion	0.035	0.634	0.328	0.003

Note: These data are necessary input for the modified maximum likelihood estimator used to derive the bias corrected age proportions.

Appendix F: Summary of applied bias corrected proportions

Escapement	Proportions of Fish at Age			
Hatcheries	2	3	4	5
Coleman National Fish Hatchery-Fall Run	0.03299	0.22225	0.73652	0.00825
Feather River Hatchery-Fall Run	0.04879	0.47372	0.47748	0.00000
Nimbus Fish Hatchery-Fall Run	0.03826	0.90651	0.05523	0.00000
Coleman National Fish Hatchery-Late Fall Run	0.03294	0.75232	0.16712	0.04762
Feather River Hatchery-Spring Run	0.00581	0.32709	0.66710	0.00000
Mokelumne River Fish Hatchery-Fall Run	0.04333	0.83457	0.12210	0.00000
Natural Areas				
Clear Creek	0.03516	0.21282	0.73572	0.01629
Battle Creek-Fall Run	0.03299	0.22225	0.73652	0.00825
Upper Sacramento River-Fall Run	0.03933	0.13347	0.78955	0.03765
Feather River	0.01222	0.25037	0.73740	0.00000
Yuba River	0.03224	0.36143	0.59075	0.01558
American River	0.00318	0.55994	0.43639	0.00049
Upper Sacramento River-Winter Run	0.02138	0.70995	0.26866	0.00000
Upper Sacramento River-Late Fall Run	0.00118	0.35280	0.56537	0.08065
Butte Creek-Spring Run	0.02889	0.72716	0.24395	0.00000
Mokelumne River-Fall Run	0.01260	0.79561	0.19179	0.00000

Note: This summary displays the bias corrected proportions generated by the modified maximum likelihood estimator that were applied to the total unknown age escapement in each hatchery and natural area.

Appendix G: Summary of final bias corrected proportions

Escapement	Proportions of Fish at Age			
Hatcheries	2	3	4	5
Coleman National Fish Hatchery-Fall Run	0.03277	0.22160	0.73739	0.00824
Feather River Hatchery-Fall Run	0.04793	0.46564	0.48643	0.00000
Nimbus Fish Hatchery-Fall Run	0.03829	0.90646	0.05525	0.00000
Coleman National Fish Hatchery-Late Fall Run	0.03332	0.75764	0.16299	0.04606
Feather River Hatchery-Spring Run	0.00299	0.68212	0.31451	0.00037
Mokelumne River Fish Hatchery-Fall Run	0.04310	0.83621	0.12069	0.00000
Natural Areas				
Clear Creek	0.03512	0.21288	0.73577	0.01623
Battle Creek-Fall Run	0.03302	0.22223	0.73647	0.00828
Upper Sacramento River-Fall Run	0.03910	0.13470	0.78880	0.03740
Feather River	0.01235	0.30816	0.67880	0.00069
Yuba River	0.03226	0.36137	0.59063	0.01575
American River	0.00320	0.55994	0.43635	0.00050
Upper Sacramento River-Winter Run	0.02086	0.70760	0.27155	0.00000
Upper Sacramento River-Late Fall Run	0.00220	0.35897	0.55897	0.07985
Butte Creek-Spring Run	0.02850	0.72610	0.24525	0.00015
Mokelumne River-Fall Run	0.01277	0.79787	0.18936	0.00000

Note: This summary displays the final proportions at age based on the total escapement in each hatchery and natural area. These proportions are a product of the application of the bias corrected proportions generated by the modified maximum likelihood estimator to the total unknown age escapement and the subsequent addition of CWT fish at age recovered in each respective hatchery and natural area.

Appendix H: Comparison of Sacramento Basin Fall run bias corrected estimates of escapement (age 2 and ages 3-5) versus PFMC Review length based estimates of grilse and adult escapement

Fall Escapement	Total Grilse		Total Adults	
Hatcheries	Age 2	Grilse	Ages 3-5	Adults
Coleman National Fish Hatchery	386	220	11,392	11,558
Feather River Hatchery	256	172	5,085	5,169
Nimbus Fish Hatchery	176	7	4,421	4,590
Hatchery Subtotal	818	399	20,898	21,317
Natural Areas				
Clear Creek	145	41	3,984	4,088
Battle Creek	327	59	9,577	9,845
Upper Sacramento River	667	859	16,393	16,201
Feather River	270	321	21,592	21,541
Yuba River	84	81	2,520	2,523
American River	32	130	9,953	9,855
Natural Area Subtotal	1,525	1,491	64,019	64,053
Total Fall Escapement	2,343	1,890	84,917	85,370

Note: These data illustrate the potential that scale aging may be a viable method for deriving more accurate estimates of age 2 or 'grilse' and age 3-5 or 'adult' escapement.

Appendix I: Comparison of Central Valley Late Fall, Winter, Spring, and Mokelumne River Fall run bias corrected estimates of escapement (age 2 and ages 3-5) versus PFMC Review length based estimates of grilse and adult escapement

Escapement	Total Grilse		Total Adults	
	Age 2	Grilse	Ages 3-5	Adults
Hatcheries				
Coleman National Fish Hatchery-Late Fall Run	204	300	5,919	5,823
Feather River Hatchery-Spring Run	8	5	2,666	2,669
Mokelumne River Fish Hatchery-Fall Run	45	40	999	1,004
Hatchery Subtotal	257	345	9,584	9,496
Natural Areas				
Upper Sacramento River-Winter Run	53	139	2,488	2,402
Upper Sacramento River-Late Fall Run	9	63	4,086	4,032
Butte Creek-Spring Run	195	103	6,647	6,739
Mokelumne River-Fall Run	6	9	464	461
Natural Area Subtotal	263	314	13,685	13,634
Total Escapement	520	659	23,269	23,130

Note: These data illustrate the potential that scale aging may be a viable method for deriving more accurate estimates of age 2 or 'grilse' and age 3-5 or 'adult' escapement.

Appendix J: Sample expansion factors

Escapement	Sample Expansion Factor
Hatcheries	
Coleman National Fish Hatchery-Fall Run	1.00
Feather River Hatchery-Fall Run	1.01
Nimbus Fish Hatchery-Fall Run	N/A
Coleman National Fish Hatchery-Late Fall Run	1.00
Feather River Hatchery-Spring Run	1.00
Mokelumne River Fish Hatchery-Fall Run	1.00
Natural Areas	
Clear Creek	N/A
Battle Creek-Fall Run	N/A
Upper Sacramento River-Fall Run (ARB/BRB)	35.92/14.81
Feather River (HFC/LFC)	26.68/14.40
Yuba River	N/A
American River	N/A
Upper Sacramento River-Winter Run	2.83
Upper Sacramento River-Late Fall Run	N/A*
Butte Creek-Spring Run	N/A
Mokelumne River-Fall Run	N/A

Note: Expansion factors are only displayed for those surveys where tags were recovered from the appropriate river respective to their hatchery of origin.

*Upper Sacramento Late Fall sample expansion factors were unknown at the time of this report.